

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(e))**

Docket No.

PA0111

In Re Application Of: S. Stubbs, et al.

AUG 14 2003

Serial No.  
09/967,301Filing Date  
September 28, 2001Examiner  
H. RobinsonGroup Art Unit  
1653

Title: Fluorescent Proteins

Address to  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(p))			Docket No. PA0111	
In Re Application: S. Stubbs, et al.				
Serial No. 09/967,301	Filing Date September 28, 2001	Examiner H. Robinson	Group Art Unit 1653	
Fluorescent Proteins			<b>RECEIVED</b> AUG 22 2003 TECH CENTER 1500 2003	

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of \_\_\_\_\_ is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. 502-590 as described below.

☒ Charge the amount of \$180.00  
☒ Credit any overpayment.  
☒ Charge any additional fee required.

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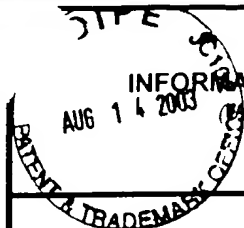
  
Signature

Dated: August 12, 2003

**Yonggang Ji**  
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Reg. No.: 53,073

cc:



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO  
PA0111SERIAL NO  
09/967,301

S. Stubbs, et al.

FILING  
September 28, 2001GROUP  
1653

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A1	5,804,387	8-Sep-98	Cormack, et al.			
A2	6,027,881	22-Feb-00	Pavlakakis, et al.			
A3	6,054,321	25-Apr-00	Tsien, et al.			
A4	6,077,707	20-Jun-00	Tsien, et al.			
A5	6,150,176	21-Nov-00	Tsien, et al.			

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
B1	WO97/42320	13-Nov-97	WIPO				
B2	WO00/08054	17-Feb-00	WIPO				
B3	WO01/98338	27-Dec-01	WIPO				

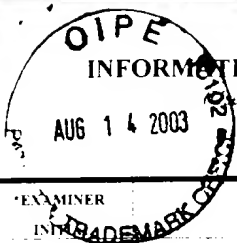
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

C1	B. Cormack, et al. "FACS-optimized mutants of the green fluorescent protein (GFP)" Gene, 173 (1996) pages 33-38
C2	C. Reichel, et al. "Enhanced green fluorescence by the expression of an <i>Aequorea victoria</i> green fluorescent protein mutant in mono- and dicotyledonous plant cells" Proc. Natl. Acad. Sci. USA volume 93, June 1996 pages 5888-5893

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)	Application Number
PA0111	09/967,301
Applicant(s)	
S. Stubbs, et al.	
Filing Date	Group Art Unit
September 28, 2001	1653

EXAMINER  
INITIALS

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

C3

K. Brejc, et al. "Structural basis for dual excitation and photoisomerization of the *Aequorea victoria* green fluorescent protein"  
Proc. Natl. Acad. Sci. USA  
volume 94, March 1997  
pages 2306-2311

C4

T. Yang, et al. "Improved Fluorescence and Dual Color Detection with Enhanced Blue and Green Variants of the Green Fluorescent Protein"  
The Journal of Biological Chemistry  
volume 273, number 14, April 3, 1998  
pages 8212-8216

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